



Fee is due pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**180.00**

Complete if Known

Application Number	10/533,978
Filing Date	05/05/2005
First Named Inventor	BROERMAN, KEITH ROBERT, et al.
Examiner Name	TRAN, Quoc Duc
Art Unit	2614
Attorney Docket No.	PU020453

METHOD OF PAYMENT (check all that apply) CUSTOMER NUMBER 24498

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : _____
☒ Deposit Account Deposit Account Number: 07-0832 Deposit Account Name: THOMSON LICENSING LLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) ☒ Credit any overpayments
Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	310	155	510	255	200	105	_____
Design	210	105	100	50	130	65	_____
Plant	210	105	310	155	160	80	_____
Reissue	310	155	510	255	620	310	_____
Provisional	210	105	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Fee (\$)
50

Small Entity Fee (\$)
25

Each independent claim over 3 (including Reissues)

210

105

Multiple dependent claims

370

185

Total Claims

Extra Claims

Fee(\$)

Fee Paid (\$)

_____ - 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims

Extra Claims

Fee(\$)

Fee Paid (\$)

_____ - 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims

Fee (\$)

Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)
_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : IDS

Fees Paid (\$)

180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	40,677	Telephone	609-734-6815
Name (Print/Type)	Paul P. Kiel	Date	3/12/09		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : BROERMAN, Keith Robert, et al.
Serial No. : 10/533,978
Filed : May 5, 2005
For : METHOD AND APPARATUS FOR GENERATING
AND PLAYING DIAGNOSTIC MESSAGES INDICATIVE
OF MTA PROVISIONING STATUS
Examiner : TRAN, Quoc Duc
Art Unit : 2614

INFORMATION DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
☐ 2 Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
☒ 3 Pursuant to 37 CFR 1.97(d)
[after Final Office Action or Allowance, but prior to payment of issue fee]

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:
The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 4 A list of documents on form PTO/SB08a and/or PTO/SB08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
☐ 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
☐ (a) The required certification made in item 8(a) below; **OR**
☐ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.
☒ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of issue fee]; and
☐ (a) The required certification made in item 8(a) below; **AND**
☒ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

☐ 8 Certification

- ☐ (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- ☒ 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

Keith Robert Broerman, et al.

BY:



Paul P. Kiel, Attorney
Registration No. 40,677
(609) 734-6815

Thomson Licensing LLC
Patent Operations
P. O. Box 5312
Princeton, New Jersey 08543-5312

Date: 3/12/09

Certificate Of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date: 3-13-09 Signature: Fideliz Romero
Fideliz Romero